INTERNET FORM NLRB-501 (2-08)

INS

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

| | FORM EXEMPT UNDER 44 U.S.C 3512 | | | |
|----------------------------|---------------------------------|--|--|--|
| DO NOT WRITE IN THIS SPACE | | | | |
| Case 32-CA-120919 | Date Filed 1/21/2014 | | | |

| STOLICTIONS. | | 32 GA 12071. | 1, 21, 2014 |
|---|---|--|--------------------------------------|
| STRUCTIONS: File an original with NLRB Regional Director for the region in which | the alleged unfair labor of | actice occurred or is occurring | iù. |
| 1. EMPLOYER A | GAINST WHOM CHAI | RGE IS BROUGHT | |
| a. Name of Employer | | | b. Tel. No. (925) 277-0563 |
| Bay Area Restaurant Management Co. | | | c Cell No. |
| | | | f. Fax No. |
| d. Address (Street, city, state, and ZIP code) | e. Employer Represe | entative | (925) 830-0771 |
| 2268 Camino Ramon San Ramon, CA 94583 | (b) (6), (b) (7)(C) | | g. e-Mail |
| | | | h. Number of workers employed 100 |
| Type of Establishment (factory, mine, wholesaler, etc.) Corporate Restaurant Chain | j. Identify principal p Fast Food | product or service | |
| subsections) (3) of the National Labor Relations Act, and these these unfair labor practices are unfair practices affecting community | nerce within the meaning | of the Act and the Postal R | eorganization Act. |
| 2. Basis of the Charge (set forth a clear and concise statement | nt of the facts constitutin | g the alleged unfair labor p | aractices) |
| Within six (6) months of the filing of this charge, which owns and operates McDonald's restauran for indefinitely suspended) employee (b) (6), (b) union affiliation. | the above-reference ts, violated Section (7) in retaliation | ced employer, Bay Are 8(a)(1) and 8(a)(3) of for look engaging in pr | |
| — | | | 201 |
| | | | o F E |
| | | | PLEB REGIO |
| | | | E 2 |
| | | | 2 7 7 |
| | | | to a or |
| 3. Full name of party filing charge (if labor organization, give to | full name, including local | name and number) | /s = 120 |
| East Bay Organizing Committee | | | Oi |
| 4a. Address (Street and number, city, state, and ZIP code) | | | 4b.Tel. No. (510) 207-3178 |
| 2501 International Boulevard, Suite D Oakland, CA 94601 | | | 4c. Cell No. |
| • | | | 4d.Fax No. |
| | | ı | 4e.e-Mail |
| | | | ryan.eboc@gmail.com |
| Full name of national or international labor organization of labor organization) N/A | which it is an affiliate or c | onstituent unit (to be filled i | n when charge is filed by a |
| 6. DECLARATION | ON | and a large | Tel. No. (213) 380-2344 |
| declare that I have lead the above charge and that the statements a | are are to the best of thy ki | iowiedye and belief. | Office, if any, Cell No. |
| (signature of depresentative or person making charge) | List R. Du | ncan, Attorney | |
| (Salitable of Especialists of because manifolistics) | is the Ope (Iditie and the | . S. Giller, it diffy | Fex No. (213) 443-5098 |
| Weinberg, Roger & Rosenfeld Address 800 Wilshire Boulevard, Suite 1320, Los | s Angeles, CA 9001 | January 17, 2014 | e-Mail |
| | , , | 100.27 | lduncan@unioncounsel.net |

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.

131704/748929

STEWART WEINBERG
DAVID A ROBENFELD
WILLIAM & SOKOL
DLYTHE MICKELEDN
DLARY E ININCE
CHRISTIAN L RAISHER
THEDOOME FRANKLIN
ANTONO DUZ
MATTIAN L RAISHER
THEDOOME FRANKLIN
ANTONO DUZ
MATTHEW J CAUCER
ACHICY K. RUDA LINDA (ALDWIN JONE)
PATRICIA A DAVO
ALAN C EROOMEY
REISTIAN L INILMAN EMILY P RICH
REISTIAN L INILMAN EMILY P RICH
REISTIAN E JONES
ANNE I YON
REISTIAN E JONES
ANNE I YON
REISTIAN M. JANNEN
JANNAN Y, MANANELA
MANIEL A BOCLES
-KERANNE R. STEELE -CARP P PROYECHORE
TICKIQL C DARDER -MONICAL OLIVER
EMANON A SEIDENSTEIN
LUCK OLIVER
EMANON A SEIDENSTEIN
LUCK OLIVER
LUCKAN

WEINBERG, ROGER & ROSENFELD A Professional Corporation

800 Wilshire Blvd, Suite 1320 Los Angeles, CA 90017 TELEPHONE (213) 380-2344 FAX (213) 443-5098 JORDAN D MAZJIR
JACOB J. MNITE
BEAN D. BRAMAM
DANEL B. BROME
JOLENE KRAMER
BTEPHANIE L. MARNA
ANTAONY J. TUCCI
BOMERT F. EZYKONNA

VINCENT A HARRINGTON, Of Counsel PATRICIA M GATES Of Counsel ROBERTA D PERKINS, Of Counsel MINA FENDEL, Of Counsel ANA M. GALLEGOS, Of Counsel

Agmitted in resweb
 Also admitted in Nevada
 Also admitted in Riunes
 Also admitted in New York

January 17, 2014

VIA EMAIL & US MAIL

William A. Baudler Regional Director, Region 32 National Labor Relations Board Oakland Federal Building 1301 Clay Street, Room 300-N Oakland, CA 94612-5211

Re: East Bay Organizing Committee and Bay Area Restaurant Management Co.

Dear Mr. Baudler:

Enclosed for filing is the Original and four (4) copies of the Charge Against Employer for the above-entitled matter.

In regard to your investigation of this case, please send any questionnaires to **Ryan Dowling** at the address of the charging party and please contact Mr. Dowling at (510) 207-3178 (email: ryan.eboc@gmail.com), to arrange obtaining statements from witnesses.

Should you need to contact me, please do not hesitate to contact me at the office or by email at sgraham@unioncounsel.net.

Thank you for your cooperation in this matter.

U

Sincerely

Lisl R. Duncan

LRD:(b)
opeiu 3 afl-cio(1)

Enclosures

cc: Ryan Dowling

ALAMEDA OFFICE 1001 Marina Village Parkway, Suite 200 Alameda, CA 94501-1091 TEL 510.337.1001 FAX 510.337.1023 SACRAMENTO OFFICE 428 J Street, Suite 520 Secremento, CA 95814-2341 TEL 916,443,6600 FAX 916,442 0244 HONOLULU OFFICE Union Plaza 1138 Union Mail, Suite 402 Honolulu, HI 98813-4500 TEL 808 528 8880 FAX 808.528.8881

CERTIFICATE OF SERVICE

I am a citizen of the United States and a resident of the County of Los Angeles, State of California. I am over the age of eighteen years and not a party to the within action; my business address is 800 Wilshire Boulevard, Suite 1320, Los Angeles, California 90017.

On January 17, 2014, I served the *Charge Against Employer (name employer)* on the interested party in said action by placing a true and exact copy thereof enclosed in a sealed envelope with postage thereon fully prepaid, in the United States Post Office mail box at Los Angeles, California, addressed as follows:

(b) (6), (b) (7)(C)

Bay Area Restaurant Management Co. 2268 Camino Ramon San Ramon, CA 94583

I certify under penalty of perjury that the foregoing is true and correct.

Executed at Los Angeles, California on Januar (b) (6), (b) (7)(C)

2014 JAH 21 AH 8: 1

REGION 32 – DOCKET SHEET (Charge Against EMPLOYER)

| Case Name: | Bay 1 | trea Rest | an part M | unagem | ent Co. |
|--|-------------|--------------------|--------------------|---------------------------------------|---------------------------------------|
| | · · · · · · | | /· | <i>0</i> | |
| Method of Receipt: | Visit | | Written (fax or | Mail) | · · · · · · · · · · · · · · · · · · · |
| IO Assisted | Yes | | No) | | |
| IO Inquiry # on cha | rae Yes | | No) | | |
| | <u> </u> | | | | |
| Assigned to | Supervisor | H-M | Agent | Rosa | les . |
| · | | | - | , | |
| Dispute Location | City | San | Ramon | State | CA |
| Allegations: (See b | oack) | | | | |
| Bargaining Status | (Check one) | | • | | • |
| Existing Contract | | / 1 | Vone | | |
| Organizational Camp | paign | V 5 | Seeking Initial Co | ontract | |
| Seeking Successor | Contract | | | | |
| No. of 8(a) (3) Discr Include Back Pay P | | | | | |
| IA Category | ul | | | | |
| 10(j) (check if application | able) | | | | |
| Related Cases | | | <u> </u> | · · · · · · · · · · · · · · · · · · · | |
| (Relate on case leve Investigation Action otherwise noted) | | · | | | |
| Blocks R Case Nun (Relate on case leve | | gation Action unle | ss otherwise no | ted) | |
| Comments: | | | | · | |
| | | | | | |
| | · | | | | · |
| | | | | | |

| Section | Allegation | Applicable? |
|---------------------------------------|---|---------------------------------------|
| 8(a)(1) | Coercive Actions (Surveillance, etc) | - |
| | Coercive Rules | |
| | Coercive Statements (Threats, Promises of Benefits, etc.) | |
| | Concerted Activities (Retaliation, Discharge, Discipline) (*) | |
| | Denial of Access | |
| | Discharge of supervisor (Parker-Robb Chevrolet) | |
| | Interrogation (including Polling) | · · · · · · · · · · · · · · · · · · · |
| | Lawsuits | |
| | Weingarten | - |
| B(a)(2) | Assistance | - |
| | Domination | |
| | Unlawful Recognition | |
| B(a)(3) | Changes in Terms and Conditions of Employment | |
| | Discharge (Including Layoff and Refusal to Hire (not salting) (*) | |
| <u></u> | Discipline | |
| | Lockout (*) | |
| | Refusal to Consider/Hire Applicant (salting only) (*) | |
| | Refusal to Hire Majority (*) | |
| | Refusal to Reinstate E'ee/Striker (e.g. Laidlaw) (*) | |
| | Retaliatory Lawsuit | |
| · | Shutdown or Relocate/ Subcontract Unit Work (*) | |
| · · · · · · · · · · · · · · · · · · · | Union Security Related Actions (*) | |
| 3(a)(4) | Changes in Terms and Conditions of Employment | |
| | Discharge (including Layoff and Refusal to Hire) | |
| | Discipline | _ |
| | Refusal to Reinstate Employee/Striker | |
| | Shutdown or Relocate/ Subcontract Unit Work | |
| (a)(5) | Alter Ego | |
| | Failure to Sign Agreement | |
| ·. | Refusal to Bargain/Bad Faith Bargaining (incl'g surface bargaining/direct dealing | |
| | Refusal to Furnish Information | |
| | Refusal to Recognize | |
| | Repudiation/Modification of Contact [Sec 8(d)/Unilateral Changes] (*) | |
| | Shutdown or Relocate (e.g. First National Maint.). Subcontract Work (| *) |
| (e) | All Allegations against an Employer | |

^(*) May require adding the Back - Pay Statement to Charging Party Letter



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224 Agency Website: www.nlrb.gov Telephone: (510)637-3300 Fax: (510)637-3315

January 21, 2014

(b) (6), (b) (7)(C)

BAY AREA RESTAURANT MANAGEMENT CO. 2268 CAMINO RAMON SAN RAMON, CA 94583

> Re: Bay Area Restaurant Management Co. Case 32-CA-120919

Dear (b) (6), (b) (7)(C)

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Attorney Brenda Rosales whose telephone number is (510)637-3267. If this Board agent is not available, you may contact Deputy Regional Attorney Valerie M. Hardy-Mahoney whose telephone number is (510)637-3283.

<u>Right to Representation</u>: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly. Due to the nature of the allegations in the enclosed unfair labor practice charge, we have identified this case as one in which injunctive relief pursuant to Section 10(j) of the Act may be appropriate. Therefore, in addition to investigating the merits of the unfair labor practice allegations, the Board agent will also inquire into those factors relevant to making a determination as to whether or not 10(j) injunctive relief is appropriate in this case. Accordingly, please include your position on the appropriateness of Section 10(j) relief when you submit your evidence relevant to the investigation.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor any request to place limitations on our use of position statements or evidence beyond those prescribed by the Freedom of Information Act and the Federal Records Act. Thus, we will not honor any claim of confidentiality except as provided by Exemption 4 of FOIA, 5 U.S.C. Sec. 552(b)(4), and any material you submit may be introduced as evidence at any hearing before an administrative law judge. We are also required by the Federal Records Act to keep copies of documents gathered in our investigation for some years after a case closes. Further, the Freedom of Information Act may require that we disclose such records in closed cases upon request, unless there is an applicable exemption. Examples of those exemptions are those that protect confidential financial information or personal privacy interests.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials (except unfair labor practice charges and representation petitions) by E-Filing (not e-mailing) through our website, <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541 offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours, Lenge Velastegui

George Velastegui Regional Director

Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

| Revised 3/21/2011 NATIONAL LABOR RELATIONS BOARD | | | | | | | |
|---|---|-----------|----------------------------------|---------------|----------|------|----|
| QUESTIONNAIRE ON COMMERCE INFORMATION | | | | | | | |
| Please read carefully, answer all applicable ite | Please read carefully, answer all applicable items, and return to the NLRB Office. If additional space is required, please add a page and identify item number. | | | | | | |
| CASE NAME | 4.6 | | I | CASE NUN | | | |
| Bay Area Restaurant Manager | | | | 32-CA-1 | 120919 | | |
| 1. EXACT LEGAL TITLE OF ENTITY (| As filed with State and/or stated in lega | docum | ents forming entity) | | | | |
| | | | | | | | |
| 2. TYPE OF ENTITY | | | | | | | |
| [] CORPORATION [] LLC [] L | LP [] PARTNERSHIP [] SOL | E PROP | RIETORSHIP [] OTHER | (Specify) | | | |
| 3. IF A CORPORATION or LLC | D MANG ADDRESS AND DELATIO | NIGHT | / | DELATER | | 2 | |
| A. STATE OF INCORPORATION OR FORMATION | B. NAME, ADDRESS, AND RELATION | NSHIP | (e.g. parent, subsidiary) OF ALI | L KELATEL | ENTITIES | 5 | |
| | | | | | | | |
| 4. IF AN LLC OR ANY TYPE OF PART | NERSHIP, FULL NAME AND ADDR | ESS OF | ALL MEMBERS OR PARTN | ERS | | | |
| | | | | | | | |
| 5. IF A SOLE PROPRIETORSHIP, FUL | L NAME AND ADDRESS OF PROPR | IETOR | | | | | |
| | | | | | | | |
| 6. BRIEFLY DESCRIBE THE NATURE | OF YOUR OPERATIONS (Products h | andled o | r manufactured, or nature of ser | vices perfort | med). | | |
| | | | | | | | |
| 7. A. PRINCIPAL LOCATION: | B. BRANCH LO | CATIO | NS: | | | | |
| | | | | | | | |
| 8. NUMBER OF PEOPLE PRESENTLY | EMPLOYED | | | | | | |
| A. Total: | B. At the address involved in this | natter: | | | | | |
| 9. DURING THE MOST RECENT (Chec | ck appropriate box): [] CALENDAR Y | R []1 | 2 MONTHS or [] FISCAI | YR (FY de | ates | |) |
| | | | | | | YES | NO |
| A. Did you provide services valued in \$ | excess of \$50,000 directly to custome | rs outsi | de your State? If no, indicate | e actual val | ue. | | |
| B. If you answered no to 9A, did you p | rovide services valued in excess of \$ | 50,000 1 | to customers in your State w | ho purchase | ed goods | | |
| | rectly outside your State? If no, indic | | | | | | |
| \$ | | | | | | | |
| C. If you answered no to 9A and 9B, did | l you provide services valued in exce broadcasting stations, commercial bu | | | | | | |
| less than \$50,000, indicate amount. | | namgs, | educational institutions, of i | etan conce | ms: n | | |
| D. Did you sell goods valued in excess | | ted outs | ide your State? If less than \$ | 50,000, ind | licate | | |
| amount. \$ | | | | | | | |
| E. If you answered no to 9D, did you se | | | | | | | |
| \$ | ess of \$50,000 from directly outside y | our sta | ie? If less than \$50,000, inc | ncate amou | ш. | | |
| F. Did you purchase and receive good | F. Did you purchase and receive goods valued in excess of \$50,000 from directly outside your State? If less than \$50,000, indicate | | | | | | |
| amount. \$ | | | | | | | |
| G. Did you purchase and receive good outside your State? If less than \$5 | | nterprise | es who received the goods di | rectly from | points | | |
| H. Gross Revenues from all sales or p | | roest ar | nount) | | | | |
| | 00,000 [] \$1,000,000 or more If les | | | | | | |
| I. Did you begin operations within t | the last 12 months? If yes, specify | date: | | | | | |
| 10 ARE YOU A MEMBER OF AN ASSO | CIATION OR OTHER EMPLOYER | GROUP | THAT ENGAGES IN COLLI | ECTIVE BA | RGAININ | G? | |
| [] YES [] NO (If yes, name and address of association or group). | | | | | | | |
| 11. REPRESENTATIVE BEST QUALIFI | ED TO GIVE FURTHER INFORMAT | | | | | | |
| NAME | TITLE | E-MAI | L ADDRESS | | TEL. NU | MBER | |
| | | | | | | | |
| 12. AUTHO | RIZED REPRESENTATIVE C | OMPI | LETING THIS QUESTI | ONNAIR | E | | |
| NAME AND TITLE (Type or Print) | SIGNATURE | | E-MAIL ADDRESS | | Г | DATE | |
| | | | | | | | |

PRIVACY ACT STATEMENT

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UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

| BAY AREA RESTAURANT MANAGEMENT (| co. |
|---|--|
| Charged Party | |
| and | Case 32-CA-120919 |
| EAST BAY ORGANIZING COMMITTEE | |
| Charging Party | |
| | |
| | |
| AFFIDAVIT OF SERVICE OF CHARGE AGAI | INST EMPLOYER |
| I, the undersigned employee of the National Labor F January 21, 2014, I served the above-entitled docum following persons, addressed to them at the following | nent(s) by post-paid regular mail upon the |
| (b) (6), (b) (7)(C) BAY AREA RESTAURANT MANAGEMENT CO 2268 CAMINO RAMON SAN RAMON, CA 94583 |). |
| January 21, 2014 | Ida Lam, Designated Agent of NLRB |
| Date | Name |
| | /s/ Ida Lam |
| | Signature |



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224 Agency Website: www.nlrb.gov Telephone: (510)637-3300 Fax: (510)637-3315

January 21, 2014

RYAN DOWLING EAST BAY ORGANIZING COMMITTEE 2501 INTERNATIONAL BOULEVARD, SUITE D OAKLAND, CA 94601

Re: Bay Area Restaurant Management Co.

Case 32-CA-120919

Dear Mr. Dowling:

The charge that you filed in this case on January 21, 2014 has been docketed as case number 32-CA-120919. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Attorney Brenda Rosales whose telephone number is (510)637-3267. If the Board agent is not available, you may contact Deputy Regional Attorney Valerie M. Hardy-Mahoney whose telephone number is (510)637-3283.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, www.nlrb.gov, or at the Regional office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials (except unfair labor practice charges and representation petitions) by E-Filing (not e-mailing) through our website www.nlrb.gov. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website www.nlrb.gov or from the Regional Office upon your request. *NLRB Form 4541, Investigative Procedures* offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

George Velastegui Regional Director

Lenge Velastegui

cc: LISL R. DUNCAN, ESQ.
WEINBERG ROGER & ROSENFELD
800 WILSHIRE BLVD STE 1320
LOS ANGELES, CA 90017-2623



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224

Agency Website: www.nlrb.gov Telephone: (510) 637-3300 Fax: (510) 637-3315

Agent's Direct Dial: (510) 637-3267

January 30, 2014

VIA E-MAIL ONLY

MICHAEL G. PEDHIRNEY, ESQ. LITTLER MENDELSON P.C. 650 California St Fl 20 San Francisco, CA 94108-2601

not making witnesses available in this matter.

Re: Bay Area Restaurant Management Co.

Case 32-CA-120919

Dear Mr. PEDHIRNEY:

I am writing this letter to advise you that it is now necessary for me to take evidence from your client regarding the allegations raised in the investigation of the above-captioned matter. As explained below, I am requesting to take affidavits on or before **Friday, February 14, 2014** with regard to certain allegations in this case.

Allegations: The allegations for which I am seeking your evidence are as follows.

Within six months of the filing of this charge, the Employer violated Section 8(a)(1) and 8(a)(3) of the Act when it terminated (or indefinitely suspended) employee (b) (6), (b) (7)(C) in retaliation for engaging in protected concerted activity and because of union affiliation.

The Charging Party also presented evidence that on or about threatened an employee by ordering the employee to stop engaging in Union activity.

Board Affidavits: I am requesting to take affidavits from (b) (6), (b) (7)(C)

unknown), and any other individuals you believe have information relevant to the investigation of the above-captioned matter. If you do not allow the Board agent to take sworn affidavits from representatives who may have relevant information, the Agency will consider that to constitute less than complete cooperation in the investigation of the charge. If you plan to make witnesses available for affidavits, please contact me by **Thursday**, **February 6**, **2013** or sooner to schedule the affidavits. If I do not hear from you by Thursday, February 6, 2013, I will assume you are

Additional Evidence Requested: In addition to taking affidavits, I am requesting the information listed below. If any of the information listed below does not exist or will not be made available, please state that it does not exist or the reason why it will not be made available.

- (1) Please provide a completed Commerce Questionnaire.
- (2) Please provide the Employer's complete response to the allegations set forth above.
- (3) Please provide all communications from the Employer to the Employment Development Department concerning employee (b) (6), (b) (7)(C)
- (4) Please provide all communications from the Employment Development Department to the Employer concerning employee (b) (6), (b) (7)(C).
- (5) Please provide all communications between the Employer and other governmental agencies concerning employee (b) (6), (b) (7)(C).
- (6) Please provide the names, dates of termination/suspension, and supporting documents for all other employees terminated/suspended for the same or similar reasons for which the Employer terminated/suspended employee (b) (6), (b) (7)(C) for the period of (b) (6), (b) (7)(C) 2013.
- (7) Please provide communications between the Employer and the Employment Development Department concerning other employees for the period of 2011 to (b) (6), (b) (7)(C) 2013.
- (8) For each employee identified in item 7 above, please explain what course of action, if any, the Employer took in response to communications between the Employer and the Employment Development Department. Please include any supporting documentation, such as letters from the Employer to the affected employees.
- (9) If any of the employees identified in item 7 above were <u>not</u> terminated/suspended like (b) (6), (b) (7)(C) please explain why.
- (10) Please provide a complete copy of (b) (6), (b) (7)(C) personnel file.

Date for Submitting Evidence: To resolve this matter as expeditiously as possible, you are requested to present your evidence, including affidavits taken by me, in this matter by **Friday, February 14, 2014**. Electronic filing of position statements and documentary evidence through the Agency website is preferred but not required. To file electronically, go to **www.nlrb.gov,** select **File Case Documents,** enter the **NLRB case number,** and follow the detailed instructions. If I have not received all your evidence by that time or spoken with you and agreed to another date, it will be necessary for me to make my recommendations based upon the information available to me at that time.

Please be further advised that it is Agency policy that full and complete cooperation on your part in this investigation includes timely providing all material witnesses under your control

to the investigating Board agent so that the witnesses' statements can be reduced to affidavit form and providing all relevant documentary evidence requested by the Board agent. The mere submission of a position letter or memorandum, or the submission of affidavits not taken by a Board agent, does not constitute full and complete cooperation in the investigation of the charge.

Please contact me at your earliest convenience by telephone, (510) 637-3267, or e-mail, brenda.rosales@nlrb.gov, so that we can discuss how you would like to provide evidence and I can answer any questions you have with regard to the issues in this matter.

Very truly yours,

/s/

BRENDA L. ROSALES Attorney

From: Rosales, Brenda

Sent: Monday, February 3, 2014 6:18 PM

To: 'Pedhirney, Michael G.'

Subject: RE: Bay Area Restaurant Management Co. 32-CA-120919

Good afternoon Mr. Pedhirney,

Sorry for the delayed reply. The Region has evidence that on or about the Region does not know) interrogated an employee about Union activity and the Union activity of other employees.

Please let me know if you have further questions/concerns.

Thanks,

Brenda L. Rosales Field Attorney National Labor Relations Board, Region 32 1301 Clay St., Suite 300N Oakland, CA 94612

Tel: (510) 637-3267 Fax: (510) 637-3315

From: Pedhirney, Michael G. [mailto:MPedhirney@littler.com]

Sent: Monday, February 03, 2014 11:40 AM

To: Rosales, Brenda

Subject: Re: Bay Area Restaurant Management Co. 32-CA-120919

Ms. Rosales:

I am unclear regarding (b) (6), (b) (7)(C) role in this. Can you provide information regarding relevance to this case?

Sent from my iPhone

On Jan 30, 2014, at 3:05 PM, "Rosales, Brenda" < Brenda.Rosales@nlrb.gov> wrote:

Dear Mr. Pedhirney,

Please find attached the letter requesting evidence in this matter. Please contact me if you have any questions/concerns.

Best regards,

Brenda L. Rosales Field Attorney National Labor Relations Board, Region 32 1301 Clay St., Suite 300N Oakland, CA 94612 Tel: (510) 637-3267 Fax: (510) 637-3315

<LTR.32-CA-120919.Request Evidence Letter 1.30.14.pdf>

To ensure compliance with requirements imposed by the IRS, we inform you that any U.S. federal tax advice contained in this document (including any attachments) is not intended or written to be used, and cannot be used, for the purpose of (i) avoiding penalties under the Internal Revenue Code or (ii) promoting, marketing or recommending to another party any transaction or matter addressed herein.

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32-CA-120919 Page 1

Case Name: Bay Area Restaurant Management Co.

Case No. 32-CA-120919

Agent: Attorney BRENDA ROSALES

CASEHANDLING LOG

| Date | Person Contacted | Method of Contact | Description of Contact or Activity |
|----------|---------------------------------------|----------------------|---|
| 1/24/14 | Ryan Dowling | Phone | I left him a VM asking him to call me back to discuss this charge in more detail and to arrange affidavit. |
| 1/24/14 | Michael Pedhirney (ER attorney) | Phone | I called him to introduce myself and get an understanding of the charge from ER perspective before starting investigation. |
| (b) (6), | (b) (6), (b) (7)(C) | Person | (b) (6), (b) (7)(C) |
| 1/30/14 | Michael Pedhirney (ER attorney) | Email | I sent him request for evidence letter. |
| 2/4/14 | (b) (6), (b) (7)(C) | Phone | I left a VM asking to call me back. (b) (5), (b) (6), (b) (7) |
| 2/7/14 | Pedhirney | Voicemail | He left me a VM saying (b) (6), (b) (7)(C) (b) (5), (b) (6), (b) (7)(C) |
| 2/13/14 | Pedhirney | VM | Left me a voicemail asking me to call him back. |
| 2/14/14 | Pedhirney | VM | I left him a VM. He called me back. He wants an extension to Wednesday (2/19), but he will try to get it in by 2/18. I said that worked for me but that I could not give him any extensions after that. He then had a concern re SSNs on the documents he is going to provide. I told him to send them just as they are and that the Region would redact them and/or mark them as sensitive. He said thanks. End of conversation. |

32-CA-120919 Page 2

| 2/28/14 | (b) (6), (b) (7)(C) | Phone | I left a VM in some asking asking to call me back. |
|---------|--|-------|--|
| 3/6/14 | Lisl Duncan (Union attorney) | Phone | I left her a VM (b) (5), (b) (6), (b) (7)(C) I told her that if I did not hear back from her by tomorrow at noon then the Region would issue a long-form dismissal. |
| 3/10/14 | Someone from Weinberg, Rosenfeld | Phone | who works for the law firm representing the charging party left me a (b) (5), (b) (6), (b) (7)(C) |
| 3/10/14 | Sean Graham (Union attorney) | Phone | He called me again. (b) (5), (b) (6), (b) (7)(C) |
| | | | (b) (5), (b) (6), (b) (6), (c) (d) (7)(C) |
| 3/10/14 | Sean Graham | Phone | He left me a VM (b) (5), (b) (6), (b) (7)(C) |

ORAL WITHDRAWAL REQUEST FORM

Re: <u>Bay Area Restaurant Management Co.</u> 32-CA-120919

On March 10, 2014, in a voicemail, the Charging Party, through its attorney Sean Graham, informed the undersigned Board agent of its desire to withdraw the above-referenced charge and authorized withdrawal on an oral basis.

<u>/S/ BRENDA L ROSALES</u> (SIGNATURE OF BOARD AGENT)

| /S/ GEORGE VELASTEGUI | |
|-----------------------|---|
| | |
| 3/12/14 | |
| (DATE) | _ |

WITHDRAWAL REQUEST APPROVED

From: Velastegui, George P.

Sent: Wednesday, March 12, 2014 7:34 PM

To: Devlin, Helen E

Subject: FW: FINAL PROCESSING of Non-adjusted Withdrawal in Bay Area Restaurant Management, Case 32-

CA-120919

I have approved the withdrawal request in this case (non-merit)

From: Henze, Jeffrey L.

Sent: Wednesday, March 12, 2014 4:00 PM

To: Velastegui, George P.

Subject: FW: FINAL PROCESSING of Non-adjusted Withdrawal in Bay Area Restaurant Management, Case 32-CA-120919

George: The FIR Recommending Dismissal, FIR Recommending Approval of Withdrawal, and Oral Withdrawal Request Form are ready for approval.

From: Hardy-Mahoney, Valerie M.

Sent: Wednesday, March 12, 2014 1:13 PM

To: Henze, Jeffrey L.

Subject: FINAL PROCESSING of Non-adjusted Withdrawal in Bay Area Restaurant Management, Case 32-CA-120919

Dear Jeff:

The FIR Recommending Dismissal, FIR Recommending Approval of Withdrawal, and Oral Withdrawal Request Form are ready for approval.

Val



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224 Agency Website: www.nlrb.gov Telephone: (510)637-3300 Fax: (510)637-3315

March 13, 2014

LISL R. DUNCAN, ATTORNEY AT LAW WEINBERG ROGER & ROSENFELD 800 WILSHIRE BLVD STE 1320 LOS ANGELES, CA 90017-2623 RYAN DOWLING EAST BAY ORGANIZING COMMITTEE 2501 INTERNATIONAL BOULEVARD, SUITE D OAKLAND, CA 94601

Re: Bay Area Restaurant Management Co.

Case 32-CA-120919

Dear Ms. Duncan and Mr. Dowling:

This is to advise you that I have approved the withdrawal of the charge in the above matter.

Very truly yours,

GEORGE VELASTEGUI Regional Director

MICHAEL G. PEDHIRNEY, ESQ. LITTLER MENDELSON P.C. 650 CALIFORNIA ST, FL 20 SAN FRANCISCO, CA 94108-2601

George Velostegui

cc:

BAY AREA RESTAURANT MANAGEMENT CO. 2268 CAMINO RAMON SAN RAMON, CA 94583